

SOLICITATION AMENDMENT

ARIZONA
DEPARTMENT OF CORRECTIONS
1601 W. JEFFERSON, MAIL CODE 55302
PROCUREMENT SERVICES
PHOENIX, ARIZONA 85007

SOLICITATION NO. ADOC12-00001105 AMENDMENT NO. 7 Contract Officer: Karen D. Ingram

SOLICITATION DUE DATE: January 3, 2012

SIGNED COPY OF THIS AMENDMENT MUST BE RETURNED WITH YOUR BID SOLICITATION.
THIS SOLICITATION IS AMENDED AS FOLLOWS:

RFP NO. ADOC12-00001105 – Privatization for All Correctional Health Services

CHANGES IN REQUIREMENTS THAT WILL FORMALLY CHANGE THE REQUIREMENTS WILL BE SHOWN AT THE BEGINNING OF THIS AMENDMENT.

Additional Questions submitted on December 5th, 6th, 7th, 8th, 9th, 12th and 13th will be Answered in amendment(s) forthcoming.

AMEND TO CHANGE

Exhibit 1, Health Services Licenses/Approvals. Exhibit 1 has been updated and is included at the end of this amendment.

2.22.1.

AMEND TO CHANGE:

FROM:

2.12.18

2.12.18.1

2.12.18.2

2.12.18.1

2.12.18.2

2.12.18.3

2.12.18.3.1

2.12.18.3.2

2.12.18.3.3

2.12.18.3.4

2.12.18.3.5

2.12.18.4

2.12.18.5

2.12.18.6

2.12.18.7

2.12.18.8

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TO:

2.12.18
2.12.18.1
2.12.18.2
2.12.18.3
2.12.18.4
2.12.18.5
2.12.18.5.1
2.12.18.5.2
2.12.18.5.3
2.12.18.5.4
2.12.18.5.5
2.12.18.6
2.12.18.7
2.12.18.8
2.12.18.9
2.12.18.10

Questions submitted on November 30, 2011, and December 1, 2011

Question 1: Upon the award and implementation of this Contract, will all other current Arizona Department of Corrections Healthcare Services-related contracts be terminated, with the newly awarded contractor assuming all current contract healthcare services?

Answer: Yes. The Department is prepared to provide Healthcare services related contractors a 30 day notice of cancellation upon award and implementation of any resultant contract under this solicitation.

Question 2: Duplicate of Questions above - Upon the award and implementation of this Contract, will all other current Arizona Department of Corrections Healthcare Services-related contracts be terminated, with the newly awarded contractor assuming all current contract healthcare services?

Answer: See Answer to Question 1 above, as this is a duplicate.

Question 3: Attorney's Fees/Costs - In relation to RFP §2.5.2 on p. 42, does the State wish the Contractor, absent an agreement with the State in advance, to reimburse the Claimant for all attorney fees and costs in a judicial proceeding irrespective of a Court Order and/or verdict delineating culpability for such costs/fees in the form of a Judgment in favor of or against the Claimant? Or, does the State wish the Contractor to pay a Claimant's fees/costs in accordance with a Court Order/Judgment?

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Answer: Contractors are not covered under constitutional provisions mandating representation of state agencies by the Office of the Attorney General. The Contractor must hire their own attorney if they are sued. In the event a Contractor has to hire counsel, such Contractor must pay the attorney fees and other costs associated with litigation.

Question 4: NCCHC Standards - Subsection 2.2.49 requires the Contractor to apply NCCHC standards in healthcare service delivery at all ASPCs. Exhibit 1 indicates that ASPC-Eyman is not currently accredited by the NCCHC. a. Has Eyman been accredited by the NCCHC in the past? b. What are the reasons it is not accredited by the NCCHC now? c. Are there plans to have Eyman undergo NCCHC accreditation? d. If so, please describe the anticipated timetable and any quality improvement projects that need to be achieved prior to seeking accreditation.

Answer: ASPC-Eyman has never been accredited by the NCCHC. Plans to seek NCCHC accreditation at ASPC-Eyman were halted based upon the pending correctional health services privatization.

Question 5: NCCHC Certification - Exhibit 1 indicates that ASPC-Florence currently has conditional NCCHC certification with verification. So that the potential Contractor can plan responsibly to ensure full NCCHC accreditation is obtained and maintained at this facility, please describe the NCCHC findings that lead to conditional certification; the status of any quality improvements or verification processes that address these conditions; and the expected timetable for achieving full NCCHC accreditation

Answer: ASPC-Florence submitted a corrective action plan and is now fully accredited.

Question 6: Radiology - Exhibit 1 indicates that all ASPCs except Florence have one or more radiology equipment certificates. a. Please explain why ASPC-Florence does not hold one or more radiology equipment certificates. b. Are there plans to obtain one or more radiology equipment certificates at this site

Answer: ASPC-Florence holds the following radiology equipment certificates:

- Certificate #11D-4135 issued 1/4/2008. The certificate expires 1/31/2018.
- Certificate #4-D 5987 issued 1/6/2006. The certificate expires 6/30/16.
- Certificate #11-H-1767 issued 7/19/2005. The certificate expires 7/31/2015.

Please refer to Revised Exhibit 1 updated 12/8/11 and provided at the end of this Amendment.

Question 7: Pharmacy License - Exhibit 1 indicates that all pharmacy licenses expired on October 31, 2011. Have these licenses been renewed

Answer: All Pharmacy Licenses are current as follows:

- Pharmacy Licenses expiring on 10/31/13: ASPC-Phoenix (Alhambra); ASPC-Lewis and ASPC-Perryville.
- Pharmacy Licenses expiring on 10/31/12: ASPC-Eyman (at Florence) and ASPC-Tucson

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Please refer to Revised Exhibit 1 updated 12/8/11 and provided at the end of this Amendment.

Question 8: Laboratory - Exhibit 1 indicates that Clinical Laboratory Improvement Amendments (CLIA) certificates have been obtained at each ASPC and that these certificates authorize "very limited" laboratory procedures that are conducted on site. CLIA issues a range of certificates.

- a. What are the specific laboratory procedures that are conducted on site?
- b. Please confirm that Exhibit 1 refers to a CLIA Certificate of Waiver?
- c. Are clinical laboratory operations inspected by the Arizona Department of Health Services, Office of Laboratory Services or other state agency?

Answer: ADC was issued the CLIA certificate for very limited laboratory procedures that are performed on site.

- (a) The specific laboratory procedures include: urine dipstick, PT/INR and blood glucose monitoring.
- (b) Exhibit 1 identifies a CLIA Certificate of Waiver
- (c) Clinical laboratory operations at ADC are inspected by the Arizona Department of Health Services, Division of Public Health Services Office of Laboratory Services.

Question 9: Off Site Inmate Care - Subsection 2.6.4 of the RFP requires the successful Offeror to utilize Department Correctional Officers for the performance of all security services, including security in hospitals where in-patient services are provided in a non-secure wing or unit. This subsection also refers to Department Order 705, which indicates that ASPC Wardens or other Departmental staff must inspect off-site medical facilities to determine if inmates can be safely detained in the emergency room, on the floors and wards. a. Please provide a list of all off-site medical facilities that have been approved for off-site inmate healthcare by ASPC Wardens or other Departmental staff. b. Please provide a list of all "secure" wings and units referenced in Subsection 2.6.4 of the RFP.

Answer: All current contracted hospitals have been approved.

Secure Wards: University Physicians Hospital (UPH) Tucson and Tempe St. Lukes (will be completed January 2012)

Question 10: Mortality Reviews - Subsections 2.6.5 and 2.8.13 require the contractor to complete mortality reviews for each inmate death in custody. a. For each of the last three years, please indicate how many inmate deaths in custody have occurred. b. For each of the last three years, please indicate how many of these inmate deaths occurred at off-site medical facilities. c. For each of the last three years, please indicate how many of these inmates died by suicide

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Answer:

Deaths at State-Run Prisons	FY 2009	FY 2010	FY 2011	FY 2012 as of 11-15-11
Total Inmate Deaths (including Suicide)	86	80	81	25
Suicide	6	10	12	2
Deaths at off-Site Medical Facilities	43	42	40	11

Question 11: Alcohol Drug Testing of Staff - When alcohol or drug testing is required for any Department or contract staff, will the successful Contractor under this procurement have any responsibility for such alcohol or drug testing? a. If so, please confirm that the Department will continue to have financial responsibility for such testing. b. On average, how many alcohol/drug tests are conducted for staff each year

Answer: The Contractor shall be responsible for any required drug testing of the Contractor's staff or Contractor's subcontractors and shall bear full financial responsibility for the drug testing. Data on staff drug testing is not available.

Question 12: Correction Officer Psych Evals - Department Order 504 indicates that medical and psychological evaluations are conducted for correctional officer applicants. These evaluations are currently conducted by the Recruitment Unit for Selection and Hiring. a. Will the successful Contractor have any responsibility for these evaluations? b. Will the successful Contractor have any responsibility for Fitness-for-Duty evaluations under Department Order 519? c. Are there other medical or mental health evaluations required for Department staff for which the successful Contractor will have responsibility?

Answer: Staff evaluations are not part of Correctional Health Services and will not be the responsibility of the Contractor.

Question 13: PREA - Subsection 2.6.6.5 requires the Contractor to comply with PREA. Department Order 125 specifies the procedures for responding to an inmate report of sexual victimization, and these procedures include transporting the inmate to an outside hospital for examination when indicated. a. For each of the last three years and each of the ASPCs, how many PREA incidents have occurred? b. For each of the last three years and each of the ASPCs, how many outside hospital examinations in response to PREA incidents have occurred?

Answer: Each calendar year ADC provides the Federal Bureau of Justice Statistics with a Survey of Sexual Violence in support of the Prison Rape Elimination Act. The total number of incidents reported for fiscal years 2008 to 2010 are as follows:

- 2008: 138
- 2009: 94
- 2010: 113

ADC does not track the number of outside hospital examinations in response to PREA incidents.

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Question 14: Occupational Health Nurse - Will the position of Occupational Health Nurse and function of the Occupational Health Unit, as described in Department Order 519, be retained by the Department or will the successful Contractor assume these responsibilities.

Answer: The Occupational Health Nurse Unit and function are not part of Correctional Health Services and will not be the responsibility of the Contractor.

Question 15: Medical Cost Liability - Subsection 2.13.1.2 requires the Contractor provide and be financially responsible for all medical services in accordance with Department Order 801 and the Department Classification Manual. Subsection 1.4.4.5 of Department Order 801 indicates that inmates may be referred for evaluation as a possible Sexually Violent Person under ARS 36-3701. a. Will the successful Offeror have any role in referring inmates for evaluation under ARS 36-3701? b. Will the successful Offeror have any role in completing evaluations of inmates under ARS 36-3701? c. It is understood that healthcare services must be provided in the context of the four custody levels promulgated by Department Order 801 and the Classification Manual. Please confirm that the successful Offeror will not have any role in the classification of inmates' custody and internal risk levels according to Department Order 801 and/or the Department Classification Manual.

Answer: In response to (a) and (b), the Offerer will not be responsible for referring inmates or completing evaluations under ARS 36-3701. ADC Community Corrections has criteria to determine if an inmate will be evaluated as a possible Sexually Violent Person under ARS 36-3701. This evaluation is completed by ADC. If ADC determines that an inmate will be evaluated, Mental Health staff is contacted. The Mental Health staff is not responsible for evaluating the inmate. The Mental Health staff is responsible for contacting the inmate to verify the inmate will cooperate with the evaluation process and to sign a consent form. In response to (c) No, the Contractor will not have any role in the classification of inmates' custody and internal risk levels.

Question 16: Sex Offender Treatment - Department Orders 801 and 1103 indicate that the Department provides treatment to sex offenders in a Sex Offender Treatment Program (SOTP). Department Order 1103 currently uses the language of a "contractor" as the provider of the SOTP, suggesting that the Department currently contracts this service out. a. Please describe the SOTP. How large is this program in terms of inmates enrolled and staff dedicated to providing treatment? b. What is the average length of participation in the SOTP? c. Please confirm that the SOTP is provided only at ASPC-Tucson. d. How many inmates currently enrolled in this program are also on the mental health caseload? e. Department Order 1103 suggests that SOTP records are maintained separately from the inmate's medical record. Is this correct? f. Will the successful Offeror have any role in providing sex offender treatment in the SOTP or other venue?

Answer: Sex Offender Treatment Services is not part of ADC Correctional Health Services and is not included in the scope of the RFP.

Question 17: Financial Responsibility - Subsection 2.13.1.4 requires the successful Offeror to provide and be

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financially responsible for all mental health services in accordance with Department Order 807. Department Order 807 requires the Offeror's mental health staff to complete a Mental Health Assessment within one business day for all inmates who are being returned to custody as revoked release violators.

a. Please provide statistics for each ASPC regarding the number of return-to-custody revoked release violators received on a monthly or annual basis.

Answer: This information is available by Fiscal Year.

FY 2011

Return to Custody Admissions

Douglas	21
Eyman	36
Florence	56
Lewis	106
Perryville	360
Phoenix	1,936
Safford	9
Tucson	392
Winslow	64
Yuma	46
Total	3,026

b. Please provide statistics for each ASPC regarding the number of return-to-custody revoked release violators who were received on psychotropic medications on a monthly or annual basis.

Answer: ADC does not track this data.

Question 18: Reception Centers - Department Order 807 also requires reasonable efforts be made to obtain authorization from new reception inmates and revoked release violators to obtain medical and mental health records from community providers when these inmates are received on psychotropic medications, have mental health histories or suicide attempt histories.

a. Please provide statistics for each reception center regarding the number of new reception inmates received each month for the last year.

Answer: This information is available by Fiscal Year.

FY 2011 All Admissions

Douglas	21
Eyman	48
Florence	69
Lewis	108

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Perryville	2,420
Phoenix	15,429
Safford	11
Tucson	522
Winslow	68
Yuma	52
Total	18,748

b. Please provide statistics for each reception center regarding the number of new reception inmates, whose treatment or history required staff to seek prior treatment records in accordance with Department Order 807.

Answer: ASPC-Phoenix mental health staff estimates 13 reception inmates per month require the request for medical records from outside community healthcare sources. Medical health staff estimates one to three percent of reception inmates per month require request for medical records from outside community healthcare sources.

c. Does the Department currently have any Memoranda of Agreements with community providers or pharmacies for the sharing of health-related information?

Answer: No. ADC does not have any Memoranda of Agreements with community providers or pharmacies for the sharing of health-related information. Please refer to the Health Services Technical Manual for the process of obtaining previous medical records.

Question 19: Financial Responsibility - Subsection 2.13.1.5 requires the successful Offeror to provide and be financially responsible for all mental health services in accordance with Department Order Department Order 1101. Department Order 1101, Subsection 1.3, indicates that inmates may be given up to one day's supply of psychotropic medications and that these medications may be dispensed to inmates by non-medical Department staff. a. At what locations, levels of care, and custody levels are Correctional Officers dispensing psychotropic medications to inmates? b. For each ASPC, please provide statistics indicating what percentage of inmates who receive psychotropic medications are having them dispensed by Correctional Officers

Answer: Non-medical staff may deliver prescriptions to the inmate, provided all medications are signed for by the inmate and accountability is ensured. The referenced paragraph in Department Order 1101 refers to Keep on Person (KOP) medication only. Some institutions have security staff deliver KOP medications to inmate housing units in a paper bag (not unlike mail order). The KOP may include psychotropic medications and medical prescribed medications.

Non-medical delivery for psychotropic medications occurs at the following locations:

- ASPC-Lewis – All housing units except L11 and Lock Down Units on each yard – 18 % Psych KOP.
- ASPC-Tucson – Catalina, Cimarron, Manzanita, Rincon, SACRC, Santa Rita, Whetstone,

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- Winchester – 33% Psych KOP
- ASPC Florence – North Unit, South Unit, East Unit and Central Unit – 21% Psych KOP
 - ASPC-Perryville – Piestewa and Santa Rosa – 1% KOP
 - ASPC Lewis, Tucson, Perryville and Florence have all levels of care and all Custody Levels of 1 through 5.

Monthly Department institutional bed capacity, custody level, and committed population information may be found at www.azcorrections.gov under Reports & Statistics or directly at www.azcorrections.gov/adc/reports/institution_capacity.aspx.

Inmate Daily Count Sheets may be found at www.azcorrections.gov under Reports & Statistics or directly at http://www.azcorrections.gov/Minh_count_sheet.asp.

Question 20: Reception centers - d. Does the Department currently have access to any community database of healthcare and/or pharmacy information that can be searched for new reception inmates? e. What proportion of new reception inmates arrive with the required Transfer Summary/Continuity of Care form completed by the sending jail?

Answer: ADC has access to limited Maricopa County electronic medical information and pharmacy in-lieu of a continuity of care. All other Arizona counties are required to send a continuity of care document to the Reception Centers. ASPC-Phoenix estimates that 85% of reception inmates arrive with either a transfer summary or a continuity of care form completed by the sending jail. ASPC-Perryville estimates that 50% of reception inmates arrive with either a transfer summary or continuity of care form completed by the sending jail.

Question 21: IT Connectivity - Regarding section 2.22, please describe the connectivity that exists in all health care areas of each institution. Are all points of medical service delivery currently served by at least Cat 5 cabling?

Answer: Under RFP Section 2.22.2, a Contractor can either utilize a sectioned off portion of the existing ADC layer-2 switcher fabric or provide their own; with handoff at the Wide Area Network interface at the layer-3 router. From a Wide Area Network perspective the Vendor is responsible for that part of the connectivity. The ADC Local Area Network (LAN) consists mostly of 100 BASE-T. Three areas of 10 BASE -5 exist: ASPC-Eyman Cook Unit, SMU-1 and Browning Unit.

Question 22: Computers - Will the Department make any computers currently in use by medical staff available to the contractor? If yes, please provide the number of computers by site and the approximate age of each.

Answer: Please see change to RFP Section 2.22.1 at the beginning of Amendment 6. All Health Services prison computers will be available to the Contractor.

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ASPC Phoenix	25
ASPC Tucson	84
ASPC Douglas	12
ASPC Safford	14
ASPC Eymann	39
ASPC Florence	60
ASPC Lewis	34
ASPC Perryville	70
ASPC Yuma	36
ASPC Winslow	8
	382

Information regarding current ADC Health Services computer equipment used at each facility is provided in *ADC Health Services Computer Equipment as of December 2011*, which has been posted under Data and Reports at

http://www.azcorrections.gov/adcd/divisions/adminservices/data_report_list_092111.aspx

Question 23: Medical records - Will the Department require that all current paper medical records be scanned into the new EHR or will a plan that incorporates current medications and other current relevant information such as problem lists and most recent medical status suffice?

Answer: The Contractor will be required to convert paper records to electronic format for all inmates currently incarcerated in ADC state-run prisons, all inmates who are transferred from other facilities to ADC state-run prisons, and all new inmates incarcerated in ADC state-run prisons. When an inmate returns to custody and his medical record is retrieved from storage, the chart will then be required to be converted to EHR electronic format. On November 30, 2011, ADC had 33,641 inmates in ADC state-run prisons. Although the size of inmate medical records varies widely, medical records typically may range from 200 to 1,200 pages. Medical records are maintained in volumes of 200 to 400 pages and some inmates have multiple volumes. Medical record pages are not always uniform size and may be stapled.

Question 24: EMR - Regarding section 2.22.1.1, in order to assist with pricing an EMR can the Department estimate the number of user licenses it expects to request for departmental use.

Answer: ADC estimates that it may require up to twenty (20) user licenses during the Contract term.

Question 25: EMR - In order to appropriately determine the appropriate EMR delivery configuration please provide a list of all points of medical service delivery in each institution. Please include all treatment areas doctor/clinician offices and any other place an inmate medical encounter may occur including but not limited to medication distribution locations?

Answer: ADC provided all potential Offerors the opportunity to attend on-site inspections of all 10 Arizona State Prison Complexes between November 3 and November 18. Please see RFP Section 1.2.

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Question 26: Medical records - Regarding section 2.10.25.9 Storage of medical record, does the Department expect paper medical records to be stored at the inmate's location throughout the current incarceration? Does the Department expect that achieved medical records will be stored at a DOC location or at an offsite facility rented by the vendor? Does the Department expect that only medical records of current inmates will be the responsibility of the vendor or does the Department expect the vendor to assume the responsibility of medical records that have already been achieved by the DOC

Answer: Please see change to Section 2.10.25.9 at the beginning of this Amendment. ADC has removed the requirement that the Contractor shall be responsible for all costs related to the storage of all existing medical records and for the retrieval of medical records. The Contractor shall be responsible for the management of the records and shall work with the Department Contract Monitor in establishing procedures to ensure that the Department can retrieve and store medical records in compliance with existing Arizona State Public Records laws and rules.

Question 27: Medical records - Has the Department taken into account the current costs of achieving medical records and such storage in its total operating costs? If so what are the associated costs including personnel to retrieve achieved records

Answer: Please see change to Section 2.10.25.9 at the beginning of **Amendment No. 5**. ADC has removed the requirement that the Contractor shall be responsible for all costs related to the storage of all existing medical records and for the retrieval of medical records.

Question 28: Reception Procedures - Exhibit 4 outlines the reception procedures, and the HSTM identifies the Department's reception centers. There do not appear to be Department Orders specifying the standards and process for initial nursing screening and medical evaluations of new reception inmates.

a. Please identify any Department Orders or Director Instructions that clarify the process for initial nursing screening and physical examinations for new reception inmates.

Answer: Please see Health Services Technical Manual (HSTM), Chapter 5, section 2.0, which is provided as part of the *Critical Reference Material: ADOC12-00001105* posted at http://www.azcorrections.gov/HealthServicesRFP_2.html

b. Please provide monthly statistics for the last year regarding the number of new reception inmates received at each of the Department's reception centers.

Answer: This information is available by Fiscal Year.

FY 2011
Douglas
Eyman

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Florence
Lewis
Perryville
Phoenix
Safford
Tucson
Winslow
Yuma
Total

Question 29: User Licenses - Section 2.22.1.1 mentions user licenses, what "business applications" does this refer to

Answer: User Licenses: ADC estimates that it may require up to twenty (20) user licenses during the Contract term. Requests will be processed in a timely manner and any associated costs will be absorbed by the Contractor. A request will only be made for applications that ADC deems necessary to monitor this contract.

Question 30: Academic Affiliations - Please describe the current academic affiliations ADC has supporting students, interns, fellows, etc.

Answer: ADC currently has academic affiliations with the following institutions:

- AT Still University, AZ School of Health Sciences – Clinical internship for Physician Assistant
- Midwestern University – Clinical Externship for Osteopathic Medicine, Pharmacy, Physician Assistant and Clinical Psychology Rotation
- University of Arizona – Clinical Psychology Externship
- Maricopa Community College District – Clinical Internship Education Program
- AZ State University College of Nursing – Nursing Clinical Rotation
- Argosy University – Clinical Internship Program

Question 31: Section 2.1.1.5 states" An offeror shall not refer to another part of the response. Information or data pertaining to a particular section or subsection but included elsewhere shall not be considered part of the response." Will an offeror be permitted to include & refer to attachments or an appendix included at the end of our proposal

Answer: Required documentation may be provided as an attachment in the response to a particular Section or Subsection, if it follows the Section or Subsection. For example, the information required under 2.3.5 may be included as an attachment, but that attachment must follow Subsection 2.3.5 and must precede the response to Subsection 2.3.6. It can not be included as an attachment that is contained in a separate binder or that is part of a group of attachments, exhibits, appendices at the end of a proposal.

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Questions submitted on December 1, 2011, and December 2, 2011

Question 1: Please clarify regarding the correct numbering under Subsection 2.12.18, as 2.12.18.1 and 2.12.18.2 appear twice.

Answer: Please see the change to Section 2.12.18 at the beginning of this amendment. Section 2.12.18 was mis-numbered and has been corrected.

Question 2: Please clarify regarding the correct numbering under Subsection 2.12.18, as 2.12.18.1 and 2.12.18.2 appear twice.

Answer: Please see the change to Section 2.12.18 at the beginning of this amendment. Section 2.12.18 was mis-numbered and has been corrected.

Question 3: AHCCCS - The current AHCCCS mandate in Arizona allows the Department to access AHCCCS rates for state prisoners' offsite medical expenses. Will the Department provide a formal agreement or statement to the selected inmate healthcare Contractor making the Contractor eligible to access these same rates? (Section 2.1.10)

Answer: Please refer to RFP Section 2.15.1. Pursuant to State of Arizona, Laws 2011, First Regular Session, Chapter 278, HB 2154, the Contractor awarded a Contract from this Request for Proposal shall not reimburse or pay for services at a rate that exceeds the capped fee-for-service schedule that is adopted by the AHCCCS administration pursuant to title 36, chapter 29, article 1, Arizona Revised Statutes, and that is in effect at the time the services are delivered.

Question 4: NCCHC Accreditation - ASPC Eyman is not currently NCCHC accredited. Is it the Department's expectation that the Contractor successfully obtain NCCHC accreditation at this location? (Section 2.6.6.4)

Answer: Yes. The Contractor is expected to achieve NCCHC accreditation for ASPC-Eyman within the first two years of the contract and maintain full accreditation throughout the remainder of the contract.

Question 5: Clarification - Please clarify "monetary off-sets" as described in Section 2.3.12.1.10.2.

Answer: A monetary offset is an offset against any payments due the Contractor until the full amount of a monetary sanction imposed for any reason is satisfied.

Question 6: Clarification - Please clarify if the Department is requesting a summary in Section 2.3.12.1.11, or if copies of the actual documents are required.

SOLICITATION AMENDMENT

ARIZONA
DEPARTMENT OF CORRECTIONS
1601 W. JEFFERSON, MAIL CODE 55302
PROCUREMENT SERVICES
PHOENIX, ARIZONA 85007

SOLICITATION NO. ADOC12-00001105 AMENDMENT NO. 7 Contract Officer: Karen D. Ingram

SOLICITATION DUE DATE: January 3, 2012

**SIGNED COPY OF THIS AMENDMENT MUST BE RETURNED WITH YOUR BID SOLICITATION.
THIS SOLICITATION IS AMENDED AS FOLLOWS:**

Answer: The Offeror shall submit a list, which includes for each item listed a summary of the complaint, Answer, and final disposition, if closed. Upon review of the information submitted, ADC may request the submission of actual documents.

Question 7: Financial Statements - Section 2.4.4.9 requires annual audited corporation Financial Statements, along with quarterly and annual Financial Statements subject to audit and specific to the revenue and expenses of this Contract. Section 2.5.4.3.4 appears to require quarterly audited Financial Statements. Please confirm that the requirement for quarterly audited Financial Statements as described in Section 2.5.4.3.4 does not apply during the original Contract term and is only applicable in the event the Contract expires and is extended month-to-month as services are transitioned to a new Contractor.

Answer: The quarterly Financial Statements required during the term of the Contract under Section 2.4.4.9.2 are not required to be audited.

Question 8: Financial Statements - Please clarify whether the quarterly audited Financial Statements described in Section 2.5.4.3.4 refer to corporation-wide Financial Statements, or to Financial Statements specific to the revenue and expenses of this Contract.

Answer: The audited quarterly Financial Statements required under Section 2.5.4.3.4 refer to both corporation Financial Statements and Financial Statements specific to the revenue and expenses of this Contract.

Question 9: Small Business - Please define what is meant by "Small Business" in Attachment #3.

Answer: Small Business has been defined in Solicitation Amendment 4, question 9, page 4 and 5.

Question 10: RFP Page 116 - 2.22.5.1 - Initiate inmate medical record search on key fields - Please specify the key fields on which the Department wishes to search inmate medical records

Answer: The exact data to be exchanged can not be determined at this time. This will be determined based upon the EHR selected by the Offeror awarded a Contract as a result of this RFP.

Question 11: RFP Page 117 - 2.22.5.2 Ability to interface with AIMS - Please verify that the interface identified in this section will be a one way interface from AIMS to the Contractor's EMR system.

Answer: Depending on the EMR system the Contractor proposes, data could transfer in a bi-directional manner.

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Question 12: RFP Page 115 - 2.22.2.1. Network Connectivity Option A - Under Option A, please specify by name the specific facilities that will require a WAN connection to be provided by the contractor. Please verify that the Department will be responsible for the local area network including VLAN configuration, equipment, and wiring that will connect the WAN sites listed above with all other satellite sites/buildings that provide medical services.

Answer: The Vendor shall provide the necessary WAN connectivity to the appropriate termination point for each location where there is a Health Unit. The termination point means a vendor supplied router. The Vendor will conform with State standards to connect to the appropriate ADC LAN. From that point ADC will deliver the vendor traffic to the appropriate end-points within a specific facility. In a similar way all sites will be connected.

Question 13: RFP Page 80 - Section 2.12 Pharmacy Services - Please provide the pharmacy data at NDC level including quantity and cost for at least one year

Answer: Please refer to the following report posted under Data and Reports at:
http://www.azcorrections.gov/adcd/divisions/adminservices/data_report_list_092111.aspx

Drug Utilization Report 10/01/2010 thru 10/01/2011

This report includes pharmaceutical listings with quantities and costs for one year; NDC information is not available.

Question 14: RFP Page 80 - Section 2.12 Pharmacy Services - Please provide the pharmacy data at NDC level including quantity and cost for at least one year.

Answer: See Answer to Question 13 above, as this is a duplicate..

Question 15: Does the requirement stated in Subsection 2.1.1.6 (acknowledgement that the Offeror has read, understands, and shall comply with, as applicable, each Section and Subsection of the Request for Proposal) apply for Sections and Subsections only under Scope of Work (Section 2) or also for the Fee Schedule (Section 3)?

Answer: The requirement applies to Uniform Instruction to Offerors; Uniform Terms and Conditions, Special Terms and Conditions, Scope of Work, and Fee Schedule.

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ALL OTHER PROVISIONS OF THE SOLICITATION SHALL REMAIN IN THEIR ENTIRETY

Vendor hereby acknowledges receipt and understanding of above amendment.

The above referenced Solicitation Amendment is hereby executed this 14th day of December, 2011 at Phoenix, Arizona.

Signature Date

Typed Name and Title

Name of Company


Denel Pickering Chief Procurement Officer

**Arizona Department of Corrections
Health Services Licenses/Approvals Held**

Arizona State Prison Complex	National Commission on Correctional Health Care (NCCHC) Certification	Radiology Equipment Certification	National Health Services Corps (NHSC) Approved Positions	Arizona State Board of Pharmacy	Controlled Substance Registration (CSR)	Clinical Laboratory Improvement Program (CLIA)	Behavioral Health License
ASPC-Douglas	Issued 6/09 Expires 1/12	Certificate # 2-D-4452: Issued 1/1/09 Expires 11/31/17 Certificate #: 2-M-4451: Issued 1/1/09 Expires 11/31/17	Medical, Dental & Mental Health			CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Eyman	NA	Certificate #11M-524 Issued 4/23/2009 Expires 4/30/2019 Certificate #11-M-5509 Issued 4/30/2009 Expires 4/30/2019 Certificate #11-M-5039 Issued 4/28/2009 Expires 4/30/2019 Certificate #11-M-5508 Issued 4/28/2009 Expires 4/30/2019	Medical & Mental Health	License #: Y002316 Issued 10/31/10 Expires 10/31/12	Certificate #: BA2671572 Issued 6/19/09 Expires 6/30/12	CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	

Arizona State Prison Complex	National Commission on Correctional Health Care (NCCHC) Certification	Radiology Equipment Certification	National Health Services Corps (NHSC) Approved Positions	Arizona State Board of Pharmacy	Controlled Substance Registration (CSR)	Clinical Laboratory Improvement Program (CLIA)	Behavioral Health License
ASPC-Florence	Issued 3/10 Expires: Continued certification with verification	Certificate #11D-4135 Issued 1/14/2008 Expires 1/31/2018 Certificate #4-D-5987 Issued 1/6/2006 Expires 6/30/2016 Certificate #11-H-1767 Issued 7/19/2005 Expires 7/31/2015	Medical & Mental Health			CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Lewis	Issued 7/10 Expires 7/13	Certificate #: 7-D-6488: Issued 5/27/09 Expires 4/30/19 7-M-6512 Issued 4/30/09 Expires 7/31/12	Medical & Mental Health	License #: Y002993 Issued 10/31/11 Expires 10/31/13	Certificate #: BA6086020 Issued 5/17/10 Expires 6/30/13	CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Perryville	Issued 7/09 Expires 2/12	Certificate #: 7-D 3095: Issued 7/15/11 Expires 6/30/21 Certificate #: 7-M-5566 Issued 4/30/09 Expires 7/30/12	Mental Health	License #: Y001419 Issued 10/31/10 Expires 10/31/12	Certificate #: AA2052001 Issued 5/9/08 Expires 6/30/11	CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Phoenix	Issued 7/09 Expires 2/12	Certificate #: 7-M-4052: Issued 2/28/06 Expires 2/28/16	Dental & Mental Health	License #: Y001279 Issued 10/31/11 Expires 10/31/13	Certificate #: AA2983066 Issued 5/26/09 Expires 6/30/12	CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	Behavioral Treatment License# BH-174 Issued: 12/1/10 Expires: 11/30/11

Arizona State Prison Complex	National Commission on Correctional Health Care (NCCCHC) Certification	Radiology Equipment Certification	National Health Services Corps (NHSC) Approved Positions	Arizona State Board of Pharmacy	Controlled Substance Registration (CSR)	Clinical Laboratory Improvement Program (CLIA)	Behavioral Health License
ASPC-Safford/Ft. Grant	Issued 7/09 Expires 2/12	Certificate #: 5-D-6517: Issued 2/28/09 Expires 2/28/19 Certificate #: 5-D-3926: Issued 8/18/08 Expires 8/31/18	Medical, Dental & Mental Health			CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Tucson	Issued 2/09 Expires 6/12	Certificate #: 10-D-4156: Issued 4/7/03 Expires 4/30/13 Certificate #: 10-M-2228 Issued 4/18/05 Expires 4/30/15	Medical	License #: Y001508 Issued 10/31/10 Expires 10/31/12	Certificate #: AA1942007 Issued 5/20/09 Expires 6/30/12	CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC-Winslow/ Apache	Issued 7/09 Expires 2/12	Certificate #: 9-M-4910: Issued 1/20/06 Expires 1/31/16	Medical, Dental & Mental Health			CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	
ASPC- Yuma	Issued 3/10 Expires 10/13	Certificate #: 14-D-5885 Issued 1/1/08 Expires 9-30-20 Certificate #: 14-M-6155 Issued 1/1/08 Expires 9-30-20	Medical, Dental & Mental Health			CLIA ID #03D0856281 Issued 8/13/07 Expires 8/12/13	

Licenses/Certificates: Issuing Entity Information

1. **NCCHC: National Commission on Correctional Health Care**

1145 West Diversey Parkway, Chicago, IL 60614
(773) 880-1460

The Commission maintains standards for the provision of medical, dental and mental health services in jails and prisons and issues certificates to those facilities found to be in compliance.

2. **Radiology Equipment Certification**

Arizona Radiation Regulatory Agency
4814 South 40th Street, Phoenix, AZ 85040
(602) 255-4845

Inspection of all x-ray machines in use by the Department is done by this state agency. If equipment is in compliance with federal and state standards, a certificate allowing operation is issued.

3. **NHSC: National Health Services Corps**

U.S. Department of Health and Human Services
Health Resources and Services Administration
National Health Services Corps
5600 Fisher Lane, Rockville, MD 20857
(800) 221-9393

The National Health Services Corps, a federal agency, reviews and approves eligibility of prison locations to employee professional staff (medical, dental and mental health) who may qualify for educational loan reimbursement if their specified profession is under-represented in a geographic location.

4. **Arizona State Board of Pharmacy**

1700 West Washington Street, Suite 250, Phoenix, AZ 85007
(602) 771-2727

This state agency issues licenses to operate pharmacies located at Eyman, Lewis, Perryville, Phoenix and Tucson.

5. **CSR: Controlled Substance Registration**

U.S. Department of Justice
Drug Enforcement Agency
8701 Morrisette Drive, Springfield, VA 22152
(602) 664-5600 -- Phoenix Division

This federal agency authorizes the storage and dispensing of controlled and narcotic drugs by licensed pharmacies through issuance of a Controlled Substance Registration Certificate.

6. **CLIA: Clinical Laboratory Improvement Amendments Program**

U.S. Department of Health and Human Services
Centers for Medicare and Medicaid Services
Clinical Laboratory Improvements Amendments Program
250 North 17th Avenue, Phoenix, AZ 85007
(602) 364-0741

This federal agency issues a certificate for very limited laboratory procedures conducted on-site at all prisons.

7. **Behavioral Health License**

Arizona Department of Health Services
Licensing Division
150 North 18th Street, Suite 419, Phoenix, AZ 85007
(602) 364-2595

This state agency issues the Behavioral Health Treatment license to the mental health program located at ASPC-Phoenix.

Compiled August 18, 2009, Updated December 8, 2011